



NORTH CAROLINA  
SOCIAL WORK CERTIFICATION AND LICENSURE BOARD

Post Office Box 1043  
Asheboro, North Carolina 27204

Phone (336) 625-1679  
Fax (336) 625-4246

[www.ncswboard.gov](http://www.ncswboard.gov)

Introductory Letter

Dear Social Worker Applicant:

Enclosed please find your application packet. Included you will find information and forms necessary to understand and initiate the application process.

**BEFORE YOU MAIL YOUR APPLICATION**

**Please be advised that incomplete applications will lead to processing delays.**

\_\_\_ Application must be completed in its entirety

\_\_\_ Name on Application must match your legal identification/social security card

\_\_\_ Application must be notarized

\_\_\_ Application fee of \$145 payable to NCSWCLB by certified check, money order, or cashier's check (NO PERSONAL CHECKS) must be included

\_\_\_ Three Professional Reference forms must be received

\_\_\_ All Professional Reference forms must be received in sealed envelopes with the references signature over the closure

\_\_\_ Transcripts must be received in a sealed envelope with your application or sent electronically from your social work program to [transcripts@ncswboard.gov](mailto:transcripts@ncswboard.gov).

\_\_\_ Electronic transcript must be received prior to application submission

\_\_\_ Transcripts must reflect the degree awarded and date awarded

\_\_\_ All applicants MUST submit the SSA-89 document, and this document must be completed as instructed

**Any typos or incomplete information will result in the Form SSA-89 being rejected. If the form is rejected, your application cannot be processed until a corrected Form SSA-89 is submitted.**

- **SSN VERIFICATION:** The Board is required to verify the Social Security number of all applicants for licensure or certification. To complete this requirement, complete and submit Form SSA-89 with your application. When completing Form SSA-89:
  - Make sure that your printed name matches the name on your social security card exactly.
  - Double-check your legal name, date of birth, and social security number for typos.
  - In the Reason for Authorizing Consent field, select: To meet a licensing requirement.
  - In the Company Name field, enter the Board's legal name exactly as follows **North Carolina Social Work Certification and Licensure Board**, do not use abbreviations or the '&' symbol.
  - The form must be signed in ink.
  - Include the Board's mailing address on the Form (PO Box 1043, Asheboro, NC 27204)

**ONCE YOU HAVE ALL APPLICATION MATERIALS, PLEASE MAIL THE COMPLETED PACKET TO:  
NCSWCLB  
PO BOX 1043  
ASHEBORO, NC 27204**

**Please read the information carefully before initiating any inquiries.** If you have any questions after careful review, you may contact the Board office. Please allow a minimum of 21 days for processing of any complete application packet. Upon completion of the review process, you will be notified by mail.

**NOTE:** When submitting your application, please do the following:

- Include **all** necessary documents in one complete packet (with the exception of ASWB exam scores and Verification of Licensure, which are to come **directly** from the jurisdiction's regulatory board),
- Professional Reference Forms are to be in **sealed** envelopes with the **signature** of the reference over the sealed closure,
- Only **official, sealed** transcripts are acceptable (or official transcripts sent electronically to [transcripts@ncswboard.gov](mailto:transcripts@ncswboard.gov)),
- Ensure the application includes the Public Notice Statement.

Applications **will only be reviewed once all required documents have been received.** If you would like verification of receipt of your application, be sure to **mail your application with delivery confirmation through the carrier (USPS, FEDEX, UPS, etc.).**

Clinical licensure is a license to practice, and is **mandatory** for those who practice clinical social work in North Carolina. All certification levels are voluntary, but highly valued as a statement of professional responsibility and accountability to upholding established standards.

Certification/Licensure is a significant professional milestone that benefits those we serve and our profession as a whole. We wish you well with this effort and with your other professional endeavors.

Sincerely,

The North Carolina Social Work Certification and Licensure Board



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## **APPLICANT INFORMATION**

**Application and Application Fee:** Application for certification/licensure must be on the forms provided by this Board and must be received and approved by the Board prior to any applicant being authorized to take the Association of Social Work Boards (ASWB) professional examination. An application fee of \$145.00 (US dollars), payable by certified check, money order, or cashier's check to the NCSWCLB and submitted within six months of issuance, must accompany the application for certification/licensure.

**No personal checks are accepted.** Please review Certification & Licensure Levels and Eligibility Requirements carefully as application fees are not refundable. Individuals who apply for more than one level of certification/licensure must check the appropriate box(es) and forward an additional application fee for each credentialing level (\$145 per level). **Professional reference forms must be dated within a year from receipt of the application by the Board office.** Applications for certification/licensure are valid for two years from the date of initial receipt by the Board.

- **APPLICATION FOR CERTIFICATION (Non-clinical social work practice):** Complete pages, 1 and 3 through 8 of the application and enclose other documents as applicable to the level for which you are applying. Application, Fee, Professional Reference Forms and transcript required for all levels.
- **APPLICATION FOR LICENSURE:** Complete pages 2 and 3 through 8 of the application and enclose other documents as applicable to the level for which you are applying. Application, Fee, Professional Reference Forms and transcript required for all licenses.
- **APPLYING FOR MULTIPLE LEVELS:** If you are interested in applying for more than one level (i.e., licensure and certification), then you will need to complete all pages of the application and submit an application fee of \$145 for each level for which you are applying, along with the Application, Professional Reference Forms, transcript, and any other required documentation.
- **PUBLIC NOTICE STATEMENT:** The signed statement acknowledging that you have read and understand the Public Notice Statement maintained by the N.C. Industrial Commission, Employee Classification Section is required for **ALL** applicants and applications shall not be considered without receipt of the signed statement.
- **TRANSCRIPTS:** Official transcripts may be sent electronically from the social work program to [transcripts@ncswboard.gov](mailto:transcripts@ncswboard.gov).
- **SSN VERIFICATION:** The Board is required to verify the Social Security number of all applicants for licensure or certification. To complete this requirement, complete and submit Form SSA-89 with your application. When completing Form SSA-89:
  - Make sure that your printed name matches the name on your social security card exactly.
  - Double-check your legal name, date of birth, and social security number for typos.
  - In the *Reason for Authorizing Consent* field, select: **To meet a licensing requirement.**
  - In the *Company Name* field, enter the Board's legal name exactly as follows **North Carolina Social Work Certification and Licensure Board**, do not use abbreviations or the '&' symbol.
  - The form must be signed in ink.

Any typos or incomplete information will result in the Form SSA-89 being rejected. If the form is rejected, your application cannot be processed until a corrected Form SSA-89 is submitted.

The North Carolina General Statute 90B-11(a) provides that the Board may, in accordance with the provisions of Chapter 150B of the General Statutes, deny, suspend, or revoke an application, certificate, or license on any of the following grounds:

- 1) Conviction of a misdemeanor or the entering of a plea of guilty or nolo contendere to a misdemeanor under this Chapter.
- 2) Conviction of a felony or entering of a plea of guilty or nolo contendere to a felony under the laws of the United States or any state of the United States.
- 3) Gross unprofessional conduct, dishonest practice, or incompetence in the practice of social work.
- 4) Procuring or attempting to procure a certificate or license by fraud, deceit, or misrepresentation.
- 5) Any fraudulent or dishonest conduct in social work.
- 6) Inability of the person to perform the functions for which he or she is certified or licensed, or substantial impairment of abilities by reason of physical or mental disability.
- 7) Violations of any of the provisions of this Chapter or rules of the Board.

The Board asks questions about an applicant's criminal, disciplinary and employment history to assist the Board in determining if the application should be granted, or if there is a valid basis for denying an application. In addition to the questions on the applications, the Board may conduct a formal criminal or disciplinary history check. Answering

"yes" to any of these questions or having a conviction, disciplinary or adverse employment action is not automatically a basis for denial of licensure.

When an applicant has a criminal conviction, the Board will consider:

- (1) The level and seriousness of the crime;
- (2) The date of the crime;
- (3) The age of the person at the time of the crime;
- (4) The circumstances surrounding the commission of the crime, if known;
- (5) The nexus between the criminal conduct and the prospective duties of the applicant as a licensee;
- (6) The prison, jail, probation, parole, rehabilitation, and employment records of the applicant since the date the crime was committed;
- (6a) The completion of, or active participation in, rehabilitative drug or alcohol treatment;
- (6b) A Certificate of Relief granted pursuant to North Carolina Gen. Stat. § 15A-173.2;
- (7) The subsequent commission of a crime by the applicant; and
- (8) Any affidavits or other written documents, including character references.

The Board may consider any similar aggravating or mitigating circumstances with respect to the applicant's disciplinary and employment history. If Board staff is unable to approve an application, the applicant has the right to request to have that application heard by the members of the Board. Any such request must be submitted in writing to the Board's Executive Director. The Board will conduct that hearing pursuant to the North Carolina Administrative Procedure Act and the Board's own hearing rules. As a result of the evidence presented at that hearing and considering the considerations outlined above, the Board may refuse to grant a license if it finds any of the grounds for doing so under North Carolina Gen. Stat. § 90B-11.

If the applicant is aggrieved by the Board's final decision, the applicant may seek review of the decision by filing a petition for judicial review in the Superior Court. The procedures for seeking judicial review can be found in Article 4 of the Administrative Procedure Act, North Carolina Gen. Stat. § 150B-43 et seq. There are specific timelines and procedures for these proceedings, and failure to follow them may lead to the Superior Court dismissing or denying a petition. Therefore, close and prompt attention to the Administrative Procedure Act is required.



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## **CERTIFICATION & LICENSURE LEVELS AND ELIGIBILITY REQUIREMENTS**

NOTE: Educational requirements are based on a social work degree from a social work program accredited by the Council on Social Work Education (CSWE). Applicants whose social work degree was obtained outside of the United States or its territories should contact CSWE through their website at [www.cswe.org](http://www.cswe.org) to determine educational equivalency.

### **LEVEL A - CERTIFIED SOCIAL WORKER (CSW)**

EDUCATION: BSW from CSWE accredited undergraduate program

EXAMINATION: ASWB **Bachelors level** examination

### **LEVEL B - CERTIFIED MASTER SOCIAL WORKER (CMSW)**

EDUCATION: MSW, DSW, or PhD in social work from CSWE accredited program

EXAMINATION: ASWB **Masters level** examination or ACSW examination

### **LEVEL C - LICENSED CLINICAL SOCIAL WORKER (LCSW) - A mandatory license for clinical practice.**

EDUCATION: MSW, DSW or PhD in social work from CSWE accredited program

EXAMINATION: ASWB **Clinical level** exam

EXPERIENCE: Minimum of 3,000 hours of paid post MSW employment (appropriately supervised clinical practice) **accumulated in no less than two (2) years, nor more than six (6) years.**

SUPERVISION: 100 hours of supervision from a LCSW, MSW with an additional two-years post LCSW clinical social work practice, on a regular basis: at least one (1) hour of supervision for every thirty (30) hours of clinical practice. A maximum of twenty-five (25) hours may be group supervision.

### **LEVEL C – LICENSED CLINICAL SOCIAL WORKER ASSOCIATE (LCSWA)**

EDUCATION: MSW, DSW or PhD in social work from CSWE accredited program

The Associate License (LCSWA) is available for new graduates and for applicants who have not satisfied all requirements for LCSW licensure. Applicants approved and issued the LCSWA license may practice only with appropriate LCSW supervision.

### **LEVEL H - CERTIFIED SOCIAL WORK MANAGER (CSWM)**

EDUCATION: BSW, MSW, DSW, or PhD in Social Work from a CSWE accredited program

EXAMINATION: ASWB **Advanced Generalist level** examination

EXPERIENCE: Three thousand (3,000) hours of paid employment accumulated in no less than two (2) years, no more than six (6) years in an administrative setting. ***Supervised practice must have occurred within the six year period prior to the date of application.***

SUPERVISION: One hundred (100) hours of supervision by a Social Work Administrator certified by the Board on at least one level with a minimum of five years administration experience in a social work or mental health setting provided on a regular basis. A maximum of fifty (50) hours may be group supervision.



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## **CERTIFICATION / LICENSURE BY SUBSTANTIAL EQUIVALENCY (COMITY)**

North Carolina does **not** recognize licensure by reciprocity or endorsement. Certification or licensure may be granted through substantial equivalency (formerly referred to as "comity").

**Application for certification/licensure by substantial equivalency is based on current and active registration, certification, or licensure in another state or jurisdiction at an equivalent credentialing level.**

**The requirements satisfied in that state/jurisdiction must be determined by this Board to be substantially equivalent to those requirements specified under North Carolina Statutes and Rules. The Board will be considering defined scope of practice, experience requirements, supervisory requirements, continuing education, and appropriate examination. For this reason, the Board will need to view the regulatory requirements that were in place at the time you were granted initial certification, licensure, or registration.**

You may apply for certification/licensure by substantial equivalency only if you are currently (active status) certified, licensed, or registered as a social worker by a similar board in another state/jurisdiction. The North Carolina Board may recognize the qualifications acquired in your current state/jurisdiction, provided they are deemed to be substantially equivalent to those required by the State of North Carolina.

In order to apply for **substantial equivalency**, you will need to apply to the Board in normal course (application fee, completed application form, completed and sealed professional reference forms, and sealed official transcript). In addition, you will need to provide the Board with a copy of your state/jurisdiction law defining the qualifications under which you were certified, registered, or licensed (those regulations that were in place at the time you were granted certification/licensure/registration); verification of your current credential; **AND** certified proof of having passed the Association of Social Work Boards (ASWB) examination required for your level of certification/licensure. An official ASWB score report can be obtained by contacting ASWB at [www.aswb.org](http://www.aswb.org) or 1-800-225-6880 to request a score transfer. If you are unable to secure a copy of the regulations that were in place at the time of initial certification/licensure/registration, you may have your regulatory board document requirements met through completion of a License Verification document.

If you are certified or licensed in another jurisdiction by exam exemption (have not taken and passed the ASWB examination required for the applicable level for which you are applying), you may qualify for exam eligibility. Certification or licensure will not be granted until the examination requirement has been satisfied.

**NORTH CAROLINA SOCIAL WORK CERTIFICATION AND LICENSURE BOARD**  
**(NCSWCLB)**  
P.O. BOX 1043  
ASHEBORO, NORTH CAROLINA 27204

**APPLICATION**

[Application is valid for two years from date of initial receipt by the Board]

Applying for: Certification \_\_\_\_\_ Licensure \_\_\_\_\_ Both \_\_\_\_\_ Name (print) \_\_\_\_\_

**\*\*REQUIRED FOR ALL CREDENTIALING LEVELS:**

- Official application (notarized signature required)
- Non-refundable fee of \$145 per level (payable by certified check, money order, or cashier's check to NCSWCLB - No personal checks accepted)
- Three completed Professional Reference Forms in sealed envelopes (signed over the closure)
- Official transcript in an envelope sealed by school or official transcripts may be sent electronically to [transcripts@ncswboard.gov](mailto:transcripts@ncswboard.gov) from the social work program
- Completed SSA-89 form (reference instructions on page 2 of this application)

**\*\* The CSW (level A), CMSW (level B), and CSWM (level H) certification credentials are NOT a license to engage in clinical social work practice. North Carolina requires licensure as a Licensed Clinical Social Worker (level C) to engage in or offer to engage in clinical social work practice. If you wish to apply for licensure, skip this page and begin with page 2. If you do not qualify for LCSW licensure you may apply for (level C) Associate License as a LCSWA. \*\***

\*\* Check the level(s) you are applying for and any appropriate condition(s) - attach appropriate documents when applicable \*\*

\_\_\_ LEVEL A – **CERTIFIED SOCIAL WORKER (CSW)**

\_\_\_ Not currently credentialed as a social worker in any other jurisdiction.

\_\_\_ Substantial Equivalency: Enclose verification of current certification, license, or registration and certified proof of having passed the ASWB Bachelors Level Examination.

\_\_\_ LEVEL B – **CERTIFIED MASTER SOCIAL WORKER (CMSW)**

\_\_\_ Not currently credentialed as a social worker in any other jurisdiction.

\_\_\_ Substantial Equivalency: Enclose verification of current certification, license, or registration and certified proof of having passed the ASWB Masters Level Examination or ACSW exam.

\_\_\_ LEVEL H – **CERTIFIED SOCIAL WORK MANAGER (CSWM)**

\_\_\_ Not currently credentialed as a social worker in any other jurisdiction.

\_\_\_ Enclose completed CSWM Administrative Supervision Form AND Employment Verification Form to demonstrate administrative experience, (**Supervised experience must have occurred within the last six years**).\*\*Administrative Supervision and Employment Verification forms are available for download under the Certification & Licensure tab (FORMS) on our website at [www.ncswboard.gov](http://www.ncswboard.gov).\*\*

\_\_\_ Substantial Equivalency: Enclose copy of state/jurisdiction law determining qualifications you were certified under and verification of current license, (Requires certified proof of having passed the ASWB Advanced Generalist Exam).

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**APPLICATION**

[Application is valid for two years from date of initial receipt by the Board]

Applying for: Certification \_\_\_\_\_ Licensure \_\_\_\_\_ Both \_\_\_\_\_ Name (print) \_\_\_\_\_

**\*\*REQUIRED FOR ALL CREDENTIALING LEVELS:**

- Official application (notarized signature required)
- Non-refundable fee of \$145 per level (payable by certified check, money order, or cashier's check to NCSWCLB - No personal checks accepted)
- Three completed Professional Reference Forms in sealed envelopes (signed over the closure)
- Official transcript in an envelope sealed by school or official transcripts may be sent electronically to [transcripts@ncswboard.gov](mailto:transcripts@ncswboard.gov) from the social work program

**\*\* The CSW (level A), CMSW (level B), and CSWM (level H) certification credentials are NOT a license to engage in clinical social work practice. North Carolina requires licensure as a Licensed Clinical Social Worker (level C) to engage in or offer to engage in clinical social work practice. If you do not qualify for LCSW licensure you may apply for (level C) Associate License as a LCSWA. \*\***

\*\* Check the level you are applying for and any appropriate condition(s) - attach appropriate documents when applicable \*\*

\_\_\_ LEVEL C – **LICENSED CLINICAL SOCIAL WORKER (LCSW)**

\_\_\_ Substantial Equivalency: Enclose copy of the state/jurisdiction law determining qualifications you were licensed under, verification of current and active license, and certified proof of having passed the ASWB Clinical Level Examination.

\_\_\_ Substantial Equivalency: (as above, but without having taken the ASWB Clinical Examination.) Enclose copy of the state/jurisdiction law determining qualifications you were licensed under and verification of current and active license. [Application will be reviewed for exam eligibility only. Licensure will not be granted until the exam requirement is met.] \*\*The License Verification form is available for download under the Certification & Licensure tab (FORMS) on our website at [www.ncswboard.gov](http://www.ncswboard.gov).\*\*

\_\_\_ LEVEL C – **LICENSED CLINICAL SOCIAL WORKER ASSOCIATE (LCSWA)**

\_\_\_ WITHOUT any post-masters supervised clinical experience, (Submit only those items bulleted above).

\_\_\_ Substantial Equivalency: WITH some post-masters supervised clinical experience in another state/jurisdiction, (In addition to the bulleted items, submit Employment Verification Form AND Clinical Social Work Supervision Form, and a copy of current and active license). **Submit only supervised clinical practice that has occurred within the previous four years.** \*\* These forms are available for download under the Certification & Licensure tab (FORMS) on our website at [www.ncswboard.gov](http://www.ncswboard.gov).\*\*

EACH APPLICANT MUST COMPLETE SECTIONS I THROUGH VI:

**SECTION I: Identifying Information (Type or Print clearly)**

A. \_\_\_\_\_  
 Legal Full Name: First Middle (Maiden) Last

B. \_\_\_\_\_  
 Mailing Address: Street P.O./Apt. City St. Zip County

C. \_\_\_\_\_  
 Social Security Number Date of Birth Place of Birth

D. \_\_\_\_\_  
 Home Phone Work Phone Fax Email

E. \_\_\_\_\_  
 NAME: **Print name as it appears on legal identification** (this is how it will appear on your certificate)

**SECTION II: Education Information**

School	Location	Degree	Subject	Graduation Date
1. _____				
2. _____				
3. _____				

**SECTION III: Professional References**

Please provide the following information for the three persons supplying the professional reference forms on behalf of your application for certification/licensure. One of your references must have served as your supervisor. The other two references must be familiar with your social work practice. Relatives, subordinates, and clients are not acceptable references.

1. \_\_\_\_\_  
 Supervisor's Name Address

\_\_\_\_\_ Professional Relationship Telephone Years Known

2. \_\_\_\_\_  
 Name Address

\_\_\_\_\_ Professional Relationship Telephone Years Known

3. \_\_\_\_\_  
 Name Address

\_\_\_\_\_ Professional Relationship Telephone Years Known

**SECTION IV: Professional Employment History (Use additional 8 1/2 X 11 sheet if necessary):**

A.

Current or Last Employer

Address

Job Title

Supervisor

Job Description

Date Employed

Date Separated

Weekly Hours

Reason for Separation

B.

Employer

Address

Job Title

Supervisor

Job Description

Date Employed

Date Separated

Weekly Hours

Reason for Separation

C.

Employer

Address

Job Title

Supervisor

Date Employed

Date Separated

Weekly Hours

Reason for Separation

D.

Employer

Address

Job Title

Supervisor

Date Employed

Date Separated

Weekly Hours

Reason for Separation

**SECTION V: Statement of Professional History**

1) \_\_\_YES \_\_\_NO Are you or have you ever been certified, licensed, or registered to practice by this Board, by another occupational Board, or in another state/jurisdiction? If yes, please provide the following:

Credential	State	Issue date (MM/DD/YYYY)	Expiration date (MM/DD/YYYY)	Exam taken
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

2) \_\_\_YES \_\_\_NO Have you ever had a credential denied, limited, reprimanded, suspended, or revoked?

3) \_\_\_YES \_\_\_NO Have you ever been convicted of a felony or misdemeanor under any laws?

4) \_\_\_YES \_\_\_NO Are any criminal charges pending against you?

5) \_\_\_YES \_\_\_NO Has any court, board, agency, or professional organization found you guilty of misconduct, unprofessional conduct, dishonest or fraudulent practice, or incompetent practice?

6) \_\_\_YES \_\_\_NO Are charges pending against you before any court, board, agency, or professional organization for unprofessional conduct, dishonest or fraudulent practice or incompetent practice?

7) If an answer to questions 2 through 6 is YES, please give full details on a separate *NOTARIZED* statement and provide the Board with a certified copy of any and all court records.

**\*\* ALL APPLICATIONS ARE SUBJECT TO A CRIMINAL BACKGROUND CHECK \*\***

**SECTION VI: Affirmation and Signature**

Read and sign the following affirmation. *NOTARIZED* signature is required.

I affirm that I have read the North Carolina General Statute 90B Social Worker Certification and Licensure Act, including the Administrative Rules, Ethical Guidelines and Disciplinary Procedures.

I hereby agree to comply fully with them.

I affirm that the information I am submitting is true, and I further understand that the Board reserves the right to make inquiries about me, including criminal records check, and any of the information I have given in support of my application.

\_\_\_\_\_ State \_\_\_\_\_ County  
I, \_\_\_\_\_, a Notary Public for said County and State, do hereby certify that \_\_\_\_\_ personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Signature of applicant \_\_\_\_\_ date: \_\_\_\_\_  
Witness my hand and official seal, this the \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

(Official Seal)

Notary Public \_\_\_\_\_  
My commission expires: \_\_\_\_\_, 20 \_\_\_\_\_

**ACKNOWLEDGMENT AND AUTHORIZATION FOR BACKGROUND INVESTIGATION**

I acknowledge receipt of the separate documents entitled DISCLOSURE REGARDING BACKGROUND INVESTIGATION and A SUMMARY OF YOUR RIGHTS UNDER THE FAIR CREDIT REPORTING ACT, and certify that I have read and understand both of those documents. I hereby authorize the obtaining of “consumer reports” and/or “investigative consumer reports” by NC Social Work Certification and Licensure Board (“Board”) at any time after receipt of this authorization and throughout my application/licensure history, if applicable. To this end, I hereby authorize any law enforcement agency, administrator, state or federal agency, institution, school or university (public or private), information service bureau, employer, or insurance company to furnish any and all background information requested by **CriminalRecordCheck.com (CRC), PO Box 90998, Raleigh, North Carolina 27675; 877-272-0266; www.criminalrecordcheck.com**, or another outside organization.

I understand that a “consumer report” may consist of my driving history (“MVR”) from a state motor vehicle records agency or Department of Motor Vehicles, and authorize the Board to obtain my MVR(s), which may contain personal information about me, such as my photograph, social security number, driver identification number, name, address, telephone number, and medical or disability information.

<p><b><u>New York applicants only:</u></b> Upon request, you will be informed whether or not a consumer report was requested by the Company, and if such report was requested, informed of the name and address of the consumer reporting agency that furnished the report. You have the right to inspect and receive a copy of any investigative consumer report requested by the Company by contacting the consumer reporting agency identified above directly. By signing below, you acknowledge receipt of Article 23-A of the New York Correction Law.</p>
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<p><b><u>New York City applicants only:</u></b> By signing this form, you further authorize the Company to provide you with a copy of your consumer report, the New York City Fair Chance Act Notice form, and any other documents, to the extent required by law, at the mailing address and/or email address you provide to the Company.</p>
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<p><b><u>Minnesota applicants only:</u></b> You have the right to submit a written request to the consumer reporting agency for a complete and accurate disclosure of the nature and scope of any consumer report the Company ordered about you. The consumer reporting agency must provide you with this disclosure within five business days after its receipt of your request or the date the report was requested by the Company, whichever date is later. Please check this box if you would like to receive a copy of a consumer report if one is obtained by the Company. <input type="checkbox"/></p>
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<p><b><u>Oklahoma applicants only:</u></b> Please check this box if you would like to receive a copy of a consumer report if one is obtained by the Company. <input type="checkbox"/></p>
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<p><b><u>Washington State applicants only:</u></b> You also have the right to request from the consumer reporting agency a written summary of your rights and remedies under the Washington Fair Credit Reporting Act.</p>
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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**[End of Document]**

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**DISCLOSURE REGARDING BACKGROUND INVESTIGATION**

The **NC Social Work Certification and Licensure Board** may obtain information about you from a third party consumer reporting agency for certification/licensure purposes. Thus, you may be the subject of a “consumer report” which may include information about your character, general reputation, personal characteristics, and/or mode of living. These reports may contain information regarding your credit history, criminal history, social security verification, motor vehicle records (“driving records”), verification of your education or employment history, or other background checks.

You have the right, upon written request made within a reasonable time, to request whether a consumer report has been run about you and to request a copy of your report. These searches will be conducted by CriminalRecordCheck.com (CRC), PO Box 90998, Raleigh, North Carolina 27675; 877-272-0266; [www.criminalrecordcheck.com](http://www.criminalrecordcheck.com), or another outside organization.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**[End of Document]**

## PUBLIC NOTICE STATEMENT

I certify that I have read and understand the “public notice statement” maintained by the N.C. Industrial Commission, Employee Classification Section on their website at [www.ic.nc.gov](http://www.ic.nc.gov).

Further, I certify that I have \_\_\_\_ / have not \_\_\_\_ (*check one*) been investigated for employee misclassification within the past twelve (12) months for initial applicants or since my last renewal.

\_\_\_\_\_  
*Printed Name*

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Date (mm/dd/yyyy)*

Applicants who have been investigated for employee misclassification shall attach a copy of the investigation results with their application. Failure to comply with this certification statement and disclosure requirement shall result in denial of your application for certification/licensure/renewal.



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Fax (336) 625-4246

**Professional Reference Form**  
(Top portion to be completed by applicant)

**Applicant Name** \_\_\_\_\_ **Date** \_\_\_\_\_

**Reference Name** \_\_\_\_\_ **Level applied for** \_\_\_\_\_

I hereby authorize the person named above to provide the North Carolina Social Work Certification and Licensure Board with the following information applicable to my qualifications as an applicant for certification/licensure. I understand that federal legislation provides me with a right of access to this information. This right may be waived, but no organization or person can require me to do so. **[Failure to check one of the boxes will be deemed an incomplete reference and result in a delay of the application review process and may require additional references.]**

( ) I hereby waive my right to access the information provided.

( ) I do not waive my right to access the information provided.

\_\_\_\_\_  
Applicant Signature

**To be completed by designated Reference:**

The above named individual is in the process of applying for social work certification/licensure. Your input and candor in completing this reference would be appreciated. Please print legibly or type all answers. Carefully answer each question. Please return this form to the applicant in an envelope with your signature over the sealed closure. In turn, the applicant will forward your sealed reference to the Board Office with the completed application packet. You may also feel free to write or call the Board directly if you have any special concerns.

1. What is your profession?  
\_\_\_\_\_

2. What is your present position?  
\_\_\_\_\_

3. What is or was your relationship with this applicant?  
\_\_\_\_\_

4. How long have you known the applicant?  
\_\_\_\_\_

5. What is your knowledge of the applicant's professional qualifications?

(circle one)

Limited

Moderate

Thorough

6. To the best of your knowledge has this applicant ever been guilty of unprofessional conduct, dishonest practice, incompetence, or fraud? No \_\_\_\_\_ Yes \_\_\_\_\_

7. Are you aware of any issues (substance abuse, emotional disorders, etc.) that would impair this individual's ability to practice? No \_\_\_\_\_ Yes \_\_\_\_\_

8. Do you have any concerns about this individual that you would like to bring to our attention?

No \_\_\_\_\_ Yes \_\_\_\_\_

Describe \_\_\_\_\_

**General Evaluation**

(Please Check)

	Poor	Good	Superior	Unknown
1. Professional Judgment	_____	_____	_____	_____
2. Ethical Conduct	_____	_____	_____	_____
3. Competence and Skill	_____	_____	_____	_____
4. Concern and Empathy	_____	_____	_____	_____
5. Record Keeping	_____	_____	_____	_____
6. Client Relationships	_____	_____	_____	_____
7. Written Communication	_____	_____	_____	_____
8. Verbal Communication	_____	_____	_____	_____
9. Social Work Knowledge Base	_____	_____	_____	_____

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**Recommendations**

- \_\_\_\_ Recommend highly, without reservation
- \_\_\_\_ Recommend as qualified and competent
- \_\_\_\_ Recommend with some reservation (Please explain below)
- \_\_\_\_ Do not recommend (Please explain below)

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**Comments**

Please list any notable strength, weaknesses, special skills, reservations, or other information that will assist us in assessing this applicant's suitability for certification/licensure.

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Signed \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_ Phone(s) \_\_\_\_\_

**Return this form to the applicant in an envelope with your signature over the sealed closure.**

Thank you for your assistance



NORTH CAROLINA  
SOCIAL WORK CERTIFICATION AND LICENSURE BOARD

Post Office Box 1043  
Asheboro, North Carolina 27204

Phone (336) 625-1679  
Fax (336) 625-4246

www.ncswboard.gov

**Professional Reference Form**  
(Top portion to be completed by applicant)

**Applicant Name** \_\_\_\_\_ **Date** \_\_\_\_\_

**Reference Name** \_\_\_\_\_ **Level applied for** \_\_\_\_\_

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Applicant Signature

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- 1. What is your profession?  
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\_\_\_\_\_
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\_\_\_\_\_
- 4. How long have you known the applicant?  
\_\_\_\_\_
- 5. What is your knowledge of the applicant's professional qualifications?  
(circle one)      Limited      Moderate      Thorough
- 6. To the best of your knowledge has this applicant ever been guilty of unprofessional conduct, dishonest practice, incompetence, or fraud?      No \_\_\_\_\_ Yes \_\_\_\_\_
- 7. Are you aware of any issues (substance abuse, emotional disorders, etc.) that would impair this individual's ability to practice?      No \_\_\_\_\_ Yes \_\_\_\_\_
- 8. Do you have any concerns about this individual that you would like to bring to our attention?  
No \_\_\_\_\_ Yes \_\_\_\_\_

Describe \_\_\_\_\_

**General Evaluation**

(Please Check)

	Poor	Good	Superior	Unknown
1. Professional Judgment	_____	_____	_____	_____
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**Recommendations**

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- \_\_\_\_ Do not recommend (Please explain below)

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**Comments**

Please list any notable strength, weaknesses, special skills, reservations, or other information that will assist us in assessing this applicant's suitability for certification/licensure.

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Signed \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_ Phone(s) \_\_\_\_\_

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4. How long have you known the applicant?  
\_\_\_\_\_

5. What is your knowledge of the applicant's professional qualifications?  
(circle one)      Limited      Moderate      Thorough

6. To the best of your knowledge has this applicant ever been guilty of unprofessional conduct, dishonest practice, incompetence, or fraud?      No \_\_\_\_\_ Yes \_\_\_\_\_

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8. Do you have any concerns about this individual that you would like to bring to our attention?  
No \_\_\_\_\_ Yes \_\_\_\_\_

Describe \_\_\_\_\_

**General Evaluation**

<b>(Please Check)</b>	<b>Poor</b>	<b>Good</b>	<b>Superior</b>	<b>Unknown</b>
1. Professional Judgment	_____	_____	_____	_____
2. Ethical Conduct	_____	_____	_____	_____
3. Competence and Skill	_____	_____	_____	_____
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6. Client Relationships	_____	_____	_____	_____
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**Recommendations**

- \_\_\_\_ Recommend highly, without reservation
  - \_\_\_\_ Recommend as qualified and competent
  - \_\_\_\_ Recommend with some reservation (Please explain below)
  - \_\_\_\_ Do not recommend (Please explain below)
- 

**Comments**

Please list any notable strength, weaknesses, special skills, reservations, or other information that will assist us in assessing this applicant's suitability for certification/licensure.

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Signed \_\_\_\_\_ Date \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_ Phone(s) \_\_\_\_\_

**Return this form to the applicant in an envelope with your signature over the sealed closure.**

Thank you for your assistance

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